

NOV 09 2006

MICHAEL BEST

& FRIEDRICH LLP

Michael Best & Friedrich LLP
Attorneys at Law
Waukesha Office
Two Riverwood Place
N19 W24133 Riverwood Drive
Suite 200
Waukesha, WI 53188-1174
Phone 262.956.6560
Fax 262.956.6565

FACSIMILE TRANSMISSION

DATE: November 9, 2006

To:

NAME	FAX NO.	PHONE NO.
Michael Kocz, Jr. United States Patent & Trademark Office	(571) 273-8300	

FROM: Thomas J. Otterlee

PHONE: 262.956.6523

SENT BY: Bridget Laack

EXTENSION: 6703

LOCATION: WKA C

RE: U.S. Patent Application No. 10/665,836

NUMBER OF PAGES, INCLUDING COVER:	8		
CLIENT-MATTER NUMBER:	076490-9058-00	SENDER'S ACCOUNT NUMBER	0042

NOTES/COMMENTS:

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NOV 09 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Group Art Unit 3746

In re

Patent Application of

Dean P. Hendrix

Application No. 10/665,836

Confirmation No.: 6030

Filed: September 19, 2003

Examiner: Kocz Jr., Michael

"COMPRESSOR ASSEMBLY"

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97(b)Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Examiner's attention is directed to the references which are listed on the attached Form PTO/SB/08A (references not provided as they are all U.S. patents).

These references were originally cited in an electronic IDS filed January 2, 2004. A copy of the original IDS and the Acknowledgement Receipt are included with this IDS.

Citation of these references is respectfully requested.

No concession is made that these documents are prior art, and Applicant expressly reserves the right to antedate the documents as may be appropriate.

Respectfully submitted,

Thomas J. Otterlee
Reg. No. 48,652File No. 076490-9058-00
Michael Best & Friedrich LLP
100 East Wisconsin Avenue
Suite 3300
Milwaukee, Wisconsin 53202-4108
262-956-6523

NOV 09 2006

PTO/SB/08A

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 1 of 2	Complete if Known	
	Application Number	10/665,836
	Filing Date	September 19, 2003
	First named Inventor	Dean P. Hendrix
	Group Art Unit	3746
	Examiner name	Koczko Jr., Michael
	Attorney Docket Number	076490-9058-00

U.S. Patent Documents

Examiner Initials	U.S. Patent Document Number	Name of Parentee or Applicant of Cited Document	Date of Publication of Cited Document
	6,174,147	Tarutani et al.	1/16/2001
	6,135,726	Robertson et al.	10/25/2000
	6,029,459	Iizuka et al.	2/29/2000
	5,964,581	Iizuka et al.	10/12/1999
	5,873,756	Takayanagi	2/23/1999
	5,795,136	Olsaker et al.	8/18/1998
	5,613,843	Isuru et al.	3/25/1997
	5,531,196	Clark	7/2/1996
	5,520,519	Birkeland	5/28/1996
	5,222,466	Gratziani	6/29/1993
	4,938,314	Sitzler et al.	7/3/1990
	4,522,165	Ogawa	6/11/1985
	4,367,767	Hurd	1/11/1983
	4,275,999	Hetzel et al.	6/30/1981
	4,089,625	Hofmann, Jr.	5/16/1978
	4,033,707	Stutzman	7/5/1977
	3,961,868	Droege, Sr. et al.	6/8/1976

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 2 of 2		Complete if Known		
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		Attorney Docket Number	076490-9058-00	
U.S. Patent Documents				
Examiner Initials		U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document
		3,859,484	Nelson	1/7/1975

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Country Code	Foreign Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Translation	English Abstract

Examiner Signature		Date Considered	
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Information Disclosure Statement

Page 1 of 2

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

**Title of
Invention****COMPRESSOR ASSEMBLY**

Application Number: 10/665836

10/665836

Confirmation Number: 6030

First Named Applicant: Dean Hendrix

Attorney Docket Number: 076490-9058-00

Art Unit: 3746

Examiner: Not Yet Assigned

Search string: (6174147 or 6135726 or 6029459 or 5964581 or 5873756 or 5795136 or
5613843 or 5531196 or 5520519 or 5222466 or 4938314 or 4522165 or
4367767 or 4275999 or 4089625 or 4033707 or 3961868 or
3859484).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	6174147	2001-01-16	Tarutani et al.			
	2	6135726	2000-10-24	Robertson et al.			
	3	6029459	2000-02-29	Iizuka et al.			
	4	5964581	1999-10-12	Iizuka et al.			
	5	5873756	1999-02-23	Takayanagi			
	6	5795136	1998-08-18	Olsaker et al.			
	7	5613843	1997-03-25	Tsuru et al.			
	8	5531196	1996-07-02	Clark			
	9	5520519	1996-05-28	Birkeland			
	10	5222466	1993-06-29	Gratziani			
	11	4938314	1990-07-03	Sitzler et al.			
	12	4522165	1985-06-11	Ogawa			
	13	4367767	1983-01-11	Hurd			
	14	4275999	1981-06-30	Hetzel et al.			
	15	4089625	1978-05-16	Hofmann, Jr.			
	16	4033707	1977-07-05	Stutzman			
	17	3961868	1976-06-08	Droege, Sr. et al.			
	18	3859484	1975-01-07	Nelson			

Remarks

Information Disclosure Statement

Page 2 of 2

Note: Remarks are not for responding to an office action.

Citation of these references is respectfully requested. No concession is made that these documents are prior art, and Applicant expressly reserves the right to antedate the documents as may be appropriate.

Signature

Examiner Name	Date

Acknowledgement Receipt

NOV 09 2006

Page 1 of 2

**UNITED STATES PATENT AND TRADEMARK OFFICE
ACKNOWLEDGEMENT RECEIPT**

Electronic Version 1.0

Stylesheet Version v1.1.1

Title of Invention	COMPRESSOR ASSEMBLY																									
Submission Type:	Information Disclosure Statement																									
Application Number:	10/665836	*10/665																								
EFS ID:	53050																									
Server Response:	<table border="1"> <thead> <tr> <th>Confirmation Code</th> <th>Message</th> </tr> </thead> <tbody> <tr> <td>ISVR1</td> <td>Submission was successfully submitted - Even if Informational or Warning Messages appear below, please not resubmit this application</td> </tr> <tr> <td>ICON1</td> <td>6030</td> </tr> <tr> <td>ISYS5</td> <td>Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmation/Application numbers at this time. They will be checked by PTO personnel later.</td> </tr> </tbody> </table>		Confirmation Code	Message	ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please not resubmit this application	ICON1	6030	ISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmation/Application numbers at this time. They will be checked by PTO personnel later.																
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First Named Applicant:	Dean Hendrix																									
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Timestamp:	2004-01-02 13:48:19 EDT																									
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PAGE 7/8 * RCVD AT 11/9/2006 4:53:04 PM [Eastern Standard Time] * SVR:USPTO-EFAX * DNIS:2738300 * CSID:262 956 6565 * DURATION (mm:ss):02:46

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